

Electronic Acknowledgement Receipt

EFS ID:	1268471
Application Number:	09696762
International Application Number:	
Confirmation Number:	3125
Title of Invention:	Investment advice systems and methods
First Named Inventor/Applicant Name:	Mark Hoffman
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Filer:	Kyle Turley/Raquel Gomez
Filer Authorized By:	Kyle Turley
Attorney Docket Number:	UPM-001.01
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Application Type:	Utility

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1		24309-501Response to OAdat edSept2206.pdf	505638	yes	12

Multipart Description/PDF files in .zip description				
Document Description		Start	End	
Transmittal letter		1	1	
Amendment - After Non-Final Rejection		2	2	
Claims		3	11	
Applicant Arguments/Remarks Made in an Amendment		12	12	

Warnings:

Information:

Total Files Size (in bytes):	505638
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.